

Direct Investor Flow



5:00 AM Central

Mail Received in Document Distribution

5:00 AM – 7:00 AM Central

Documents and checks are reviewed for indicators of illegal activity and prepared for imaging

8:00 AM – 6:00 PM Central

Documents imaged to image and Workflow (AWD) for processing

5:00 PM Central

Item reviewed and processed by Transaction Processing and Quality Control (random sampling)

8:00 PM – 2:00 AM Central

Shareholder check deposited to bank electronically (Image Cash Letter), purchase posted to mutual fund account

Day Two

7:00 AM – 9:00 AM Central

Overnight Cycle – Batch cycle commits transactions to shareholder accounts

Sharesheets and file interfaces sent to Fund Accounting and Custody; DDAs reconciled, redemption checks/wires approved for release for outbound activity

Proceeds Delivered

