

Innovative Alpha-Driven Strategies

The History and Mission of AlphaCentric Funds

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AlphaCentric Funds are designed to provide financial advisors and their clients unique value, growth, and diversification benefits via innovative, alpha-driven strategies in a mutual fund format that are not typically offered by other mutual fund firms. -Mark Kamies, Managing Partner

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 AlphaCentric
The Future of Investing

The History and Mission of AlphaCentric Funds

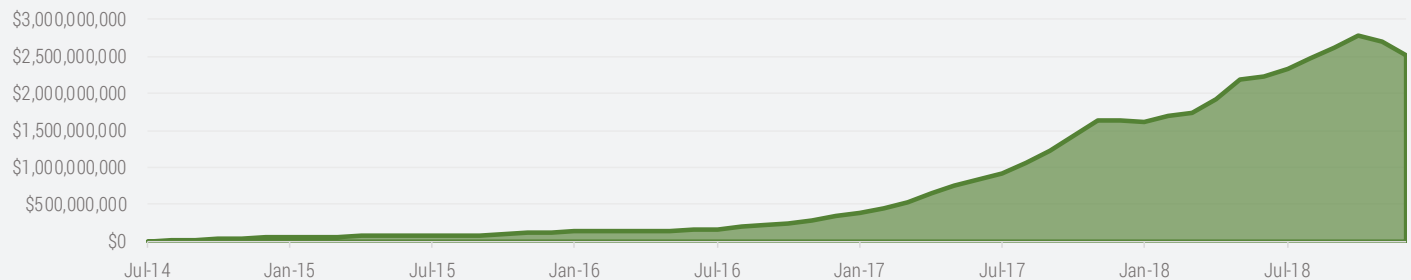
Who we are

AlphaCentric Advisors LLC is the investment advisor to the AlphaCentric line of actively managed mutual funds. Our main edict has been to be the alpha-driven future of investing. That said, we seek to provide financial advisors and investors access to best-in-class, style-forward portfolios in a mutual fund format.

AlphaCentric was founded in 2014 with the goal of providing financial advisors and their clients access to distinctive, innovative, alpha-driven investment strategies. The investment strategies implemented in our current fund lineup were once only available to institutional investors and large endowment plans. Our institutional class investment managers of these strategies sought to solve specific problems and address shortcomings in portfolio management. By partnering with AlphaCentric, these managers accessed the operations, marketing and distribution infrastructure necessary to be successful in the mutual fund space. Accordingly, our fund family was born out of the opportunity to bring to market innovative funds that complement traditional investment portfolios in mutual fund format.

Since its founding, AlphaCentric Advisors LLC has grown to over \$2 billion in assets under management. Nationally we have over 20 wholesalers serving our financial advisor clients.

History of AUM Growth



What we do

Generating alpha is the main goal of all our product offerings. Alpha is the measure of the difference between a fund's actual returns and its expected performance given its level of risk. Funds that generate positive alpha provide financial advisors and their clients superior returns at the same level of risk as the benchmark, which is often what advisors use for their passive investment approach.

How are we different

In general, our innovative and experienced investment managers employ go-anywhere, flexible, and nimble strategies to construct a lineup of niche strategies that are distinct in the marketplace. They have long-term industry track records with institutional assets and separately managed accounts. To help financial advisors and their clients make better investment decisions, our fund managers provide what we believe is insightful thought leadership that accentuates our forward-thinking, alpha-driven portfolio strategies.

Why work with us

If you are looking for niche strategies that are designed with goals such as delivering alpha and mitigating risk, AlphaCentric funds could be the right fit for your portfolio. Our funds are designed to provide investment solutions in a non-traditional and unconventional way. By being unconventional, we offer institutional-like strategies in a mutual fund format, providing transparency and daily liquidity.



Top
Financial
Advisers
2018

FT 300 Ranking June 2018

AlphaCentric Advisors was recently named to the 2018 edition of the Financial Times 300 Top Registered Investment Advisors. The list recognizes top independent Registered Investment Advisor firms across the U.S.

ALPHACENTRIC FUNDS PRODUCT FAMILY

AlphaCentric Advisors LLC is the investment advisor to the AlphaCentric mutual funds consisting of the following funds:

EQUITY FUNDS

AlphaCentric Global Innovations Fund (GNXIX):

The Fund's investment objective is long-term growth of capital. The Fund is the first actively managed fund dedicated to Robotics & Automation, employing proprietary bottom up research to identify companies worldwide with innovation technologies, such as robotics and automation companies, and potential for long-term outperformance.

AlphaCentric Small Cap Opportunities Fund (SMZIX):

The Fund's investment objective is long-term capital appreciation. The Fund seeks to achieve its capital appreciation by investing in common stocks of small capitalization companies that the Sub-Advisor believes have underappreciated earnings potential and exhibit reasonable valuations.

FIXED INCOME FUNDS

AlphaCentric Income Opportunities Fund (IOFIX)

The Fund's objective is to achieve current income by implementing an alpha-driven, "principles-based" investment process focusing on complex and hard to source asset-backed investments. The Fund seeks to generate current yield and total return via investments in often overlooked segments of RMBS, ABS, and securitized markets.

HEDGED/TACTICAL FUNDS

AlphaCentric Asset Rotation Fund (ROTIX)

The Fund's objective is to achieve long-term capital appreciation with lower overall risk than the equity market. The Fund seeks to offer investors superior risk-adjusted returns with limited drawdown relative to equities by tactically investing in the asset classes experiencing the most strength.

AlphaCentric Hedged Market Opportunity Fund (HMXIX)

The Fund's objective is to achieve capital appreciation with lower overall volatility than the equity market. The Fund utilizes a robust, algorithm-driven trading system across a broad options landscape with the goal of generating repeatable alpha-based appreciation with low correlation to the U.S. equity market.

PORTFOLIO MANAGEMENT

AlphaCentric Advisors, LLC works with some of the most experienced and successful investment sub-advisors in the financial industry. We seek out portfolio managers with a focus on three major prerequisites; innovative alpha-driven strategy construction, low beta, and successful long-term track record to outperform their benchmarks and peers over multiple market cycles via risk reduction.



Garrison Point

Garrison Point Capital, LLC

Sub-Advisor of the AlphaCentric Income Opportunities Fund (IOFIX)
Portfolio Managers: Tom Miner, Garret Smith, and Brian Loo



AlphaCentric Advisors, LLC

Investment Advisor of the AlphaCentric Hedged Market Opportunity Fund (HMXIX)
Portfolio Manager: Russell Kellites



PACIFIC VIEW
ASSET MANAGEMENT

Pacific View Asset Management, LLC

Sub-Advisor of the AlphaCentric Small Cap Opportunities Fund (SMZIX)
Portfolio Manager: Mike Ashton



KEYSTONE
WEALTH ADVISORS

Keystone Wealth Advisors, LLC

Sub-Advisor of the AlphaCentric Asset Rotation Fund (ROTIX)
Portfolio Managers: Gordon Nelson and Tyler Vanderbeek



CONTEGO CAPITAL
Collaboration · Stewardship · Trust

Contego Capital Group, Inc

Sub-Advisor of the AlphaCentric Global Innovations Fund (GNXIX)
Portfolio Manager: Brian Gashman

IMPORTANT RISK DISCLOSURES

AlphaCentric Income Opportunities Fund (IOFIX)

Investing in the Fund carries certain risks. The value of the Fund may decrease in response to the activities and financial prospects of an individual security in the Fund's portfolio. The Fund is non-diversified and may invest a greater percentage of its assets in a particular issue and may own fewer securities than other mutual funds; the Fund is subject to concentration risk. Credit risk is the risk that the issuer of a security will not be able to make principal and interest payments when due. The use of derivatives and futures involves risks different from, or possibly greater than, the risk associated with investing directly in securities. Fixed income securities will fluctuate with changes in interest rates. Lower-quality bonds, known as "high yield" or "junk" bonds, present greater risk than bonds of higher quality. The performance of the Fund may be subject to substantial short term changes. There are risks associated with the sale and purchase of call and put options. These factors may affect the value of your investment.

AlphaCentric Global Innovations Fund (GNXIX):

Investing in the Fund carries certain risks. The Fund is relatively new fund and has limited performance history. The Fund may invest a percentage of its assets in derivatives, such as futures and options contracts. The use of such derivatives and the resulting high portfolio turnover may expose the Fund to additional risks that it would not be subject to if it invested directly in the securities and commodities underlying those derivatives. The Fund may experience losses that exceed those experienced by funds that do not use futures contracts and options strategies. To the extent the Fund invests in the stocks of smaller-sized companies, the Fund may be subject to additional risks, including the risk that earnings and prospects of these companies are more volatile than larger companies. Smaller-sized companies may experience higher failure rates than larger companies and normally have lower trading volume than larger companies. These factors may affect the value of your investment. The Fund is non-diversified and as a result, changes in the value of a single security may have significant effect on the Fund's value. The Fund is subject to regulatory change and tax risks; changes to current rules could increase costs associated with an investment in the Fund. These factors may affect the value of your investment. Investments in international markets present special risks including currency fluctuation, the potential for diplomatic and political instability, regulatory and liquidity risks, foreign taxations and differences in auditing and other financial standards. Risks of foreign investing are generally intensified for investment in emerging markets. Emerging market securities tend to be more volatile and less liquid than securities traded in developed countries.

AlphaCentric Hedged Market Opportunity Fund (HMXIX)

Investing in the Fund carries certain risks. The Fund will invest a percentage of its assets in derivatives, such as futures and options contracts. The use of such derivatives and the resulting high portfolio turn-over may expose the Fund to additional risks that it would not be subject to if it invested directly in the securities and commodities underlying those derivatives. The Fund may experience losses that exceed those experienced by funds that do not use futures contracts and options strategies. Investing in commodities markets may subject the Fund to greater volatility than investments in traditional securities. Currency trading risks include market risk, credit risk and country risk. Foreign investing involves risks not typically associated with U.S. investments. Changes in interest rates and the liquidity of certain investments could affect the Fund's overall performance. The Fund is non-diversified and as a result, changes in the value of a single security may have significant effect on the Fund's value. Other risks include U.S. Government securities risks and investments in fixed income securities. Typically, a rise in interest rates causes a decline in the value of fixed income securities or derivatives owned by the Fund. Furthermore, the use of leveraging can magnify the potential for gain or loss and amplify the effects of market volatility on the Fund's share price. The Fund is subject to regulatory change and tax risks; changes to current rules could increase costs associated with an investment in the Fund. These factors may affect the value of your investment.

AlphaCentric Small Cap Opportunities Fund (SMZIX):

Investing in the Fund carries certain risks. The Fund is non-diversified and may invest a greater percentage of its assets in a particular issue and may own fewer securities than other mutual funds; the Fund is subject to concentration risk. To the extent the Fund invests in the stocks of smaller-sized companies, the Fund may be subject to additional risks, including the risk that earnings and prospects of these companies are more volatile than larger companies. Smaller-sized companies may experience higher failure rates than larger companies and normally have lower trading volume than larger companies. The Fund is a new Fund and has a limited history of operations for investors to evaluate. The Fund invests in the securities of foreign companies which are generally not subject to the same regulatory requirements and have different accounting, auditing and financial reporting standards from those applicable to U.S. companies. Overall stock market risks may also affect the value of the Fund. Factors such as domestic economic growth and market conditions, interest rate levels and political events affect the securities markets. These factors may affect the value of your investment.

AlphaCentric Asset Rotation Fund (ROTIX)

Investing in the Fund carries certain risks. The value of the Fund may decrease in response to the activities and financial prospects of an individual security in the Fund's portfolio. The Fund is non-diversified and may invest a greater percentage of its assets in a particular issue and may own fewer securities than other mutual funds. The performance of the Fund may be subject to substantial short term changes. Because the Fund may invest in other investment companies such as ETFs, the value of your investment will fluctuate in response to the performance of the acquired funds. Investing in acquired funds involves certain additional expenses and certain tax results that would not arise if you invested directly in the securities of the acquired funds. Foreign companies are generally not subject to the same regulatory requirements of U.S. companies thereby resulting in less publicly available information about these companies. Foreign accounting, auditing and financial reporting standards generally differ from those applicable to U.S. companies. Investing in emerging markets involves additional risks, including exposure to economic structures that are generally less diverse and mature, and to political systems that can be expected to have less stability than those of developed countries. When the Fund invests in fixed income securities (U.S. Treasuries), the value of your investment in the Fund will fluctuate with changes in interest rates. Typically, a rise in interest rates causes a decline in the value of fixed income securities owned by the Fund. These factors may affect the value of your investment.

Investors should carefully consider the investment objectives, risks, charges and expenses of the AlphaCentric Funds. This and other important information about the Fund is contained in the prospectus, which can be obtained by calling 844-ACFUNDS (844-223-8637) or at www.AlphaCentricFunds.com. The prospectus should be read carefully before investing. The AlphaCentric Funds are distributed by Northern Lights Distributors, LLC, member FINRA/SIPC. AlphaCentric Advisors LLC, Garrison Point Capital LLC, Pacific View Asset Management, LLC, and Keystone Wealth Advisors, LLC, are not affiliated with Northern Lights Distributors, LLC.



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For more information, please do not hesitate to contact us or visit www.AlphaCentricFunds.com

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